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Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
	09/599,563	EJERHED ET AL.				
Office Action Summary	Examiner	Art Unit				
	V. Paul Harper	2654				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REF THE MAILING DATE OF THIS COMMUNICATION - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a r - If NO period for reply is specified above, the maximum statutory perion - Failure to reply within the set or extended period for reply will, by stated to the second patent term adjustment. See 37 CFR 1.704(b). Status	N. 1.136(a). In no event, however, may a reply reply within the statutory minimum of thirty (3 od will apply and will expire SIX (6) MONTH: tute, cause the application to become ABAN	y be timely filed 10) days will be considered timely. S from the mailing date of this communication. DONED (35 U.S.C. § 133).				
1) Responsive to communication(s) filed on 17	' October 2003.					
2a) This action is FINAL . 2b) ⊠ Th	nis action is non-final.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
 4) Claim(s) 1-28 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) 21-28 is/are allowed. 6) Claim(s) 1-20 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or election requirement. 						
Application Papers						
9) The specification is objected to by the Exami 10) The drawing(s) filed on is/are: a) a Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the	ccepted or b) objected to by he drawing(s) be held in abeyance ection is required if the drawing(s)	. See 37 CFR 1.85(a). is objected to. See 37 CFR 1.121(d).				
Priority under 35 U.S.C. §§ 119 and 120						
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of: 1. Certified copies of the priority docume 2. Certified copies of the priority docume 3. Copies of the certified copies of the prapplication from the International Bure * See the attached detailed Office action for a li 13) Acknowledgment is made of a claim for dome since a specific reference was included in the 37 CFR 1.78. a) The translation of the foreign language professional translation of the foreign language professional translation of the first sentence of the second s	ents have been received. Ents have been received in Appriority documents have been received in Appriority documents have been receau (PCT Rule 17.2(a)). Est of the certified copies not recestic priority under 35 U.S.C. § first sentence of the specification or covisional application has been estic priority under 35 U.S.C. §§	lication No ceived in this National Stage ceived. 119(e) (to a provisional application) on or in an Application Data Sheet. n received. 120 and/or 121 since a specific				
Attachment(s)						
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)	5) Notice of Infor	mary (PTO-413) Paper No(s) mal Patent Application (PTO-152)				

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DETAILED ACTION

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

1. Claims 1-5, 13, 16, 19 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Julliard (European Patent Application EP 0 886 226 A1), hereinafter referred to as Julliard in view of Voorhees ("Using WordNet for Text Retrieval" in WordNet An Electronic Lexical Database edited by C. Fellbaum), hereinafter referred to as Voorhees.

Regarding claim 1, Julliard discloses a linguistic search system and includes the following methods: analyzing a text database to determine whether there is a match between a query and a portion of said text database where the match is done on a tagged form of the database (col. 1, lines 40-44, col. 5, lines 7-57), which corresponds to "analyzing said natural language text corpus with respect to surface structure for word tokens and surface syntactic roles of constituents"; indexing the text corpus (col. 5, lines 7-44), which corresponds to "indexing and storing the analyzed natural language text corpus"; analyzing the natural language query (col. 1, lines 31-40), which corresponds to "analyzing a natural language query with respect to surface structure of word tokens and surface syntactic roles of constituents"; converting the natural language expression to a tagged form including one or more words with variations

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having the same initial meaning, and for each word a part-of-speech tag (col. 1, lines 35-40, col. 4, lines 8-14), which corresponds to "creating a number of surface variants of the analyzed natural language query . . ., and for at least one surface variant by rearranging word tokens of said natural language query, in such a way that said number of surface variants are equivalent to said natural language query with respect to lexical meaning of word tokens and surface syntactic roles of constituents";

But Julliard does not specifically teach "replacing word tokens of said natural language query." However, the examiner contends that this concept was well known in the art, as taught by Voorhees.

In the same field of endeavor, Voorhees teaches the use of WordNet for information retrieval where a natural language query can be expanded using different words to express the same concept (p. 295, ¶2).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Julliard by specifically providing the features as, as taught by Voorhees, since this technique allows an improved ability to express the relevant concept during search (p. 295, ¶2).

In addition, Julliard teaches analyzing the text database to determine whether there is a match with the natural language expression that includes variations (col. 1, lines 41-45, Fig. 2, itesm s4 and s5, col. 4, lines 8-14, col. 5, lines 7-58), which corresponds to "comparing said number of surface variants and said analyzed natural language query with the indexed and stored analyzed natural language text corpus"; and determining the location of a match with said regular expression or index (col. 1,

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line 57 through col. 2, line 1, Fig. 1. **s6**, col. 5, lines 6-44), which corresponds to "extracting from said indexed and stored analyzed natural language text corpus, each portion of text comprising a string of word tokens that matches any one of said surface variants or said analyzed natural language query."

Regarding claim 2, Julliard in view of Voorhees teaches everything claimed, as applied above (see claim 1); in addition, Julliard teaches the use of a part-of-speech tagger to determine a simplified part-of speech of each word (e.g., NOUN, VERB, ADJ) and the generation of rules based on a grammar (col. 3, lines 39-55, col. 4, lines 8-55, Fig. 2 50 51 52), which corresponds to "in the step of creating, said surface syntactic roles of constituents are head and modifier roles, and grammatical relations."

Regarding claim 3, Julliard in view of Voorhees teaches everything claimed, as applied above (see claim 1); in addition, Julliard teaches that a regular expression, including word tokens tagged for part-of-speech, is matched against the corpus using an indexing scheme to pinpoint text (Fig. 2 s4 52 s5 s6, col. 5, lines 7-58), which corresponds to "in the step of extracting, a string of word tokens in said indexed and stored analyzed natural language text corpus matches one of said surface variants or said analyzed natural language query if it comprises the head words of phrases bearing the grammatical relations of subject, object, and lexical main verb in said one of said surface variants or said analyzed natural language query in the same linear order as in said one of said surface variants or said analyzed natural language query."

Regarding claim 4, Julliard in view of Voorhees teaches everything claimed, as applied above (see claim 1). In addition, Julliard teaches that the text corpus and the

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query are both tagged (col. 1, lines 45-56, col. 5, lines 6-25, note (1)), which corresponds to "in the step of analyzing a natural language query, said natural language query is analyzed in the same manner as said natural language text corpus is analyzed in the step of analyzing said natural, language text corpus."

Regarding claim 5, Julliard in view of Voorhees teaches everything claimed, as applied above (see claim 1). In addition, Julliard teaches the following: generating a part-of-speech tag that includes a morphological feature marker where both the query and the text under go part-of-speech tagging (col. 1, lines 45-53, col. 5, note (1)), which corresponds to "determining a morpho-syntactic description for each word token of said natural language text corpus; and determining a morpho-syntactic description for each word token of said natural language query"; generating regular expression representations that can represent phrases and locating the regular expressions within the database where a regular expression inherently determines the type of the phrase (col. 1, line 45, col. 2, line 1, col. 5, lines 6-19), which corresponds to "locating phrases in said natural language text corpus; determining a phrase type for each of said phrases"; locating the position of sentences within the database (col. 5, lines 37-39), which corresponds to "locating clauses in said natural language text corpus." In addition, during the analysis of the query, Julliard teaches the application of grammar rules to one or more words (i.e., word, phrase or clause) deriving a regular expression representation where the regular expression inherently determines the type of the phrase (or clause) (col. 1, lines 38-41), which corresponds to "locating phrases in said

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natural language query; determining a phrase type for each of said phrases; and locating clauses in said natural language query."

Regarding claim 13, Julliard in view of Voorhees teaches everything claimed, as applied above (see claim 1). In addition, Julliard teaches that a regular expression is matched with the corpus (Fig. 2 s5) or an indexing mechanism is used to locate a sentence or file (document) and that the matched expression (or indexed entity) is pinpointed in the original text (Fig. 2 s6), which corresponds to "in the step of extracting, a portion of text that is extracted is either the matching string of word tokens, a clause comprising the matching string of word tokens, a sentence comprising the matching string of word tokens, a paragraph comprising the matching string of word tokens, or a document comprising the matching string of word tokens."

Regarding claim 16, Julliard in view of Voorhees teaches a system for linguistic search with the following features: a part-of-speech tagger that analyzes both the text corpus and the query (col. 1, lines 45-55, col. 5, line 6-18), which corresponds to "a text analysis unit for analyzing a natural language text corpus and a natural language query with respect to surface structure of word tokens and surface syntactic roles of constituents"; storage means for storing the tagged text corpus (Fig. 1 38), which corresponds to "storage means operatively connected to said text analysis unit, for storing the analyzed natural language text corpus"; an indexer inherently connected to the storage means (Fig. 1, col. 5, lines 19-44), which corresponds to "an indexer, operatively connected to said storage means, for indexing the analyzed natural language text corpus; an index, operatively connected to said indexer, for storing said

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indexed analyzed natural language text corpus"; the ability to process the query that includes tagging and the generation of variations (Fig. 2, **s1 s2 s3 s4**, col. 1, lines 45-56, Fig. 1, col. 4, lines 8-14), which corresponds to "a query manager, operatively connected to said text analysis unit, comprising means for creating surface variants of said natural language query by . . . rearranging word tokens of said natural language query in such a way that said surface variants being equivalent to said natural language query with respect to lexical meaning of word tokens and surface syntactic roles of constituents";

But Julliard does not specifically teach "replacing word tokens . . . of said natural language query." However, the examiner contends that this concept was well known in the art, as taught by Voorhees.

In the same field of endeavor, Voorhees teaches the use of WordNet for information retrieval where a natural language query can be expanded using different words to express the same concept (p. 295, ¶2).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Julliard by specifically providing the features as, as taught by Voorhees, since this technique allows an improved ability to express the relevant concept during search (p. 295, ¶2).

In addition, Julliard teaches the ability to match the analyzed query to the text database (col. 1, line 56 through col. 2, line 1, Fig. 2 s5, col. 5, lines 6-43), which corresponds to "and means for comparing said surface variants and said analyzed natural language query with the indexed analyzed natural language text corpus in said

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index"; the ability to pinpoint the matched expression in the original text (Fig. 2, **s6**, col. 2, lines 31-44), which corresponds to "a result manager operatively connected to said index, for extracting, from said indexed and stored analyzed natural language text corpus, each portion of text comprising a string of word tokens that matches any one of said surface variants or said analyzed natural language query."

Regarding claims 19 and 20, Julliard in view of Voorhees teaches everything claimed, as applied above (see claim 1); in addition, Julliard teaches that the steps, operations or manipulations described can be implemented by means of a software controlled processor with machine readable code (col. 3, lines 21-26), which corresponds to "(claim 19) [a] computer readable medium having computer-executable instructions for a general-purpose computer to perform the steps recited in claim I; and (claim 20) [a] computer program comprising computer-executable instructions for performing the steps recited in claim 1."

2. Claims 6-12 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Julliard in view of Voorhees and Arampatzis et al. ("An Evaluation of Linguistically-motivated Indexing Schemes," Technical Report CSI-R9918, University of Nijmegen, Sept 1999), hereinafter referred to as Arampatzis-Indexing.

Regarding claim 6, Julliard in view of Voorhees teaches everything claimed, as applied above (see claim 5); in addition, Julliard teaches the use of an indexing mechanism applied to the tagged text corpus, but Julliard does not specifically teach the "[(a)] providing, for each word token of said natural language text corpus with, a unique

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word token location identifier; [(b)] storing information regarding the location of each word token of said natural language text corpus, based on said unique word token location identifiers; [(c)] storing, for each phrase type, information regarding the location of each phrase of this type in said natural language text corpus, based on said unique word token location identifiers; and [(d)] storing information regarding the location of each clause in said natural language text corpus, based on said unique word token location identifiers"; However, the examiner contends that this concept was well known in the art, as taught by Arampatzis-Indexing.

Arampatizis-Indexing teaches linguistically-motivated indexing schemes based on part-of-speech tagging and shallow parsing (abstract) where Arampatzis-Indexing's representational choices include **w** ((a) (b), above), **Lnj**, **Lnv** ((c) (d), above) (an indexing for all word-forms, lemmatized nouns and adjectives (phrases), and nouns and verbs (clauses) found in the text. (§3).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Julliard in view of Voorhees by specifically providing a unique word token location identifier, as taught by Arampatzis-Indexing, for the purpose of having access to a variety of individual representational choices.

Regarding claim 7, Julliard in view of Voorhees and of Arampatzis-Indexing teach everything claimed, as applied above (see claim 6); in addition, Julliard teaches that the part-of-speech tagger is applied to the corpus (i.e., the type of each word is included in the tagged corpus) (col. 1, note (1)), which corresponds to "storing each word type of said natural language text corpus." But Julliard in view of Arampatzis-Indexing do not

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specifically teach "storing, for each word token, its unique word token location identifier logically linked to the stored associated word type." However, the examiner contends that this concept was well known in the art, as taught by Arampatzis-Indexing.

Arampatzis-Indexing further teaches the use of a word representation indexing set **w** to index all words in the corpus (§'s 2, 3, and 4).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Julliard in view of Voorhees and Arampatzis-Indexing by specifically providing word token location indexing, as taught by Arampatzis-Indexing, for the purpose of accessing all the words in a corpus.

Regarding claim 8, Julliard in view of Voorhees and Arampatzis-Indexing teach everything claimed, as applied above (see claim 7). But Julliard in view of Arampatzis-Indexing do not specifically teach that the location of phrases comprises the step of "[(a)] providing, for each phrase of said natural language text corpus, a unique phrase location identifier identifying the word tokens spanned by the phrase; [(b)] storing each phrase type of said natural language text corpus; and [(c)] storing, for each phrase, its unique phrase location identifier logically linked to the stored associated phrase type." However, the examiner contends that these step were well known in the art, as taught by Arampatzis-Indexing.

Arampatzis-Indexing further teach the use of indexes (location identifiers) associated with **Lnj** (lemmatized nouns and adjectives) and **Lnjv** (lemmatized nouns, adjectives and verbs) (§'s 2, 3, and 4), which corresponds to (a), above; creating phrase frames that are associated with each semantically distinct phrase (i.e., type of phrase)

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(Fig. 1, §2), which corresponds to (b), above; where each phrase has an index and a phrase frame (location and type) (§'s 2, 3), which corresponds to (c), above.

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Julliard in view of Arampatzis-Indexing by specifically providing steps indicated above, as taught by Arampatzis-Indexing, for the purpose of supporting an approach consistent with the Phrase Retrieval Hypothesis (§2, ¶1).

Regarding claim 9, Julliard in view of Arampatzis-Indexing teach everything claimed, as applied above (see claim 7). But Julliard in view of Arampatzis-Indexing do not specifically teach that the location of phrases comprises the step of "[(a)] providing, for each clause of said natural language text corpus, a unique clause location identifier; [(b)] identifying the word tokens and phrases spanned by the clause; [(c)] storing, for each clause, its unique clause location identifier." However, the examiner contends that these steps were well known in the art, as taught by Arampatzis-Indexing.

Arampatzis-Indexing further teach the use of indexes (location identifiers) associated with **Lnjv** (lemmatized nouns, adjectives and verbs) (§'s 2, 3, and 4), which corresponds to (a), above; creating phrase frames that are associated with each semantically distinct phrase (where the phrases can include nouns and verbs, i.e., clauses) (Fig. 1, §2), which corresponds to (b), above; where each phrase has an index and a phrase frame (location and type) (§'s 2, 3), which corresponds to (c), above.

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Julliard in view of Voorhees and Arampatzis-

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Indexing by specifically providing the steps indicated above, as taught by Arampatzis-Indexing, for the purpose of supporting an approach consistent with the Phrase Retrieval Hypothesis (§2, ¶1).

Regarding claims 10-12, Julliard in view of Voorhees and Arampatzis-Indexing teach everything claimed, as applied above (see claims 9, 10, and 11, respectively). In addition, Julliard teaches that indexing can be used to (uniquely) access files (or documents) and more accurate information like the position of the sentence in which those words were found (where the organizational construct between documents and sentences is paragraphs) (col. 5, lines 25-44), which corresponds to "locating documents (or paragraphs or sentences) in said natural language text corpus; providing, for each document (or paragraph or sentence) of said natural language text corpus, a unique document (or paragraph or sentence) location identifier identifying the word tokens, phrases, clauses (spanned by the sentence or), sentences (spanned by the paragraph or) and paragraphs spanned by the document; storing, for each document (or paragraph or sentence), its unique document (or paragraph or sentence) location identifier

Regarding claim 18, Julliard in view of Voorhees teaches everything claimed, as applied above (see claim 16). But Julliard does not specifically teach "wherein said index comprises multiple indexes based on a hierarchy of text units that are related by inclusion." However, the examiner contends that this concept was well known in the art, as taught by Arampatzis-Indexing.

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Arampatzis-Indexing teaches linguistically-motivated indexing schemes using multiple indexing sets for different representational choices where a phrase can be recursively represented (i.e., in a hierarchy).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Julliard in view of Voorhees by specifically providing the indexing and hierarchal representation, as taught by Arampatzis-Indexing, for the purpose of obtaining more detailed representations of both the query and the text.

3. Claims 14, 15 and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Julliard in view of Voorhees and Arampatzis et al. ("Linguistically-motivated Information Retrieval"), hereinafter referred to as Arampatzis-Retrieval.

Regarding claim 14, Julliard in view of Voorhees teaches everything claimed, as applied above (see claim 1), but Julliard does not specifically teach "organizing the extracted information according to degree of correspondence with the query with respect to lexical meaning of word tokens and surface syntactic roles of constituents, such that a constituent in a portion of text having the same lemma as the equivalent constituent of the query is considered to have a higher degree of correspondence than a constituent in a portion of text being a synonym to the equivalent constituent of the query." However, the examiner contends that this concept was well known in the art, as taught by Arampatzis-Retrieval.

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Arampatzis-Retrieval teaches techniques for linguistically motivated information retrieval where the similarity between a document and a query can be determined by the function, S(d,q), allowing the document collection to be ranked in response to a query (§6) where the processing steps include tokenization, part-of-speech tagging, morphological normalization (lemma determination), lexicosemantical normalization (semantic clustering or expansion) (§7).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Julliard in view of Voorhees by specifically providing the weighting and matching approach, as taught by Arampatzis-Retrieval, for the purpose of choosing the best match to a query.

Regarding claim 15, Julliard in view of Voorhees teaches everything claimed, as applied above (see claim 1), but Julliard does not specifically teach "organizing the extracted information such that said portions of text are grouped according to sameness of grammatical subject, grammatical object, and lexical main verb." However, the examiner contends that this concept was well known in the art, as taught by Arampatzis-Retrieval.

Arampatzis-Retrieval teaches techniques for linguistically-motivated information retrieval which include weighing and matching the similarity between the document and the query. Julliard's approach includes the unnesting of a phrase frame where the main head carries the most semantic information of all the other elements in the frame and the frame includes grammatical information such as subject, object and verb (§'s 4-6).

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Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Julliard in view of Voorhees by specifically providing the features, as taught by Arampatzis-Retrieval, for the purpose of determining a better match between the query and the text.

Regarding claim 17, Julliard in view of Voorhees teaches everything claimed, as applied above (see claim 1); in addition, Julliard teaches the processing of a user query putting it into tagged form, applying grammar rules and then using mapping or indexing to locate a match within a text corpus (Fig. 2, col. 1, line 45 through col. 2, line 1, col. 5, lines 6-43), which corresponds to "wherein a string of word tokens in said indexed and stored analyzed natural language text corpus matches one of said surface variants."

But Julliard does not specifically teach "or said analyzed natural language query if it comprises the head words of phrases bearing the grammatical relations of subject, object, and lexical main verb in said one of said surface variants or said analyzed natural language query in the same linear order as in said one of said surface variants or said analyzed natural language query." However, the examiner contends that this concept was well known in the art, as taught by Arampatzis-Retrieval.

Arampatzis-Retrieval teaches techniques for linguistically-motivated information retrieval including weighing and matching the similarity between the document and the query. Arampatzis-Retrieval's approach includes the unnesting of a phrase frame where the main head carries the most semantic information of all the other elements in the frame and the frame includes grammatical information such as subject, object and verb (§'s 4-6).

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Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Julliard in view of Voorhees by specifically providing the features, as taught by Arampatzis-Retrieval, for the purpose of determining a better match between the query and the text.

Allowable Subject Matter

4. Claims 21-28 are allowed.

It is noted that the closest prior art of record, Julliard discloses a linguistic search method, but Julliard does not teach the linking of the stored word token location identifiers to the stored word types, such that, for a given identified word token, the stored word token location identifier identifying the location of the identified word token is logically, linked to the stored word type associated with the identified word token. Thus, independent claims 21 and 26 are allowable over the prior art of record because the cited prior art alone or in combination, does not fairly suggest or disclose the claimed combination of features.

Response to Arguments

5. Applicant's arguments with respect to claims 1-20 have been considered but are most in view of the new ground(s) of rejection.

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Conclusion

Any response to this office action should be mailed to:

Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, VA 22313-1450

or faxed to:

(703) 872-9314

Hand-delivered responses should be brought to:

Crystal Park II 2121 Crystal Drive Arlington, VA. Sixth Floor (Receptionist)

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dr. V. Paul Harper whose telephone number is (703) 305-4197. The examiner can normally be reached on Monday through Friday from 8:00 a.m. to 4:30 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Richemond Dorvil, can be reached on (703) 305-9645. The fax phone number for the Technology Center 2600 is (703) 872-9314.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Technology Center 2600 Customer Service office whose telephone number is (703) 306-0377.

1). Paul Marper

VPH/vph

December 5, 2003

RICHEMOND DORVIL SUPERVISORY PATENT EXAMINER